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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/532,067	12/28/2005	Gerd Sutter	GRUE-004	6100

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EXAMINER

HURT, SHARON L

ART UNIT	PAPER NUMBER
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1648

MAIL DATE	DELIVERY MODE
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12/28/2007

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/532,067	Applicant(s) SUTTER ET AL.	
	Examiner Sharon Hurt	Art Unit 1648	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 13 December 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1,2 and 6-19 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-2 and 16-19 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on December 13, 2007 has been entered.

Response to Amendment

The amendments to the claims filed December 13, 2007 has been acknowledged and entered. Claims 1, 11-13 and 16-17 are currently amended. New claims 18-19 have been added.

Status of the Claims

Claims 1-2 and 6-19 are pending and under examination.

Response to Arguments

The rejection of claims 1-2 and 6-17 rejected under 35 U.S.C. 103(a) as being unpatentable over Yang et al. in view of Kumar et al. is withdrawn pursuant applicant's amendments to the claims.

New Rejection

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-2 and 6-19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Yang et al. (Vaccine, 1997, Vol. 15, No. 12/13, pages 1303-1313) in view of Kumar et al. (Immunology Letters, April 2002, Vol. 81, pages 13-24) and Bujard et al. (WO 98/14583, 1998).

The claimed invention is drawn to a recombinant Modified Vaccinia Vaccine Ankara (MVA) virus comprising at least one nucleic acid coding for a *Plasmodium falciparum* merozoite surface protein-1 (MSP-1) protein or fragment or mutein, wherein the fragment is p83, p30, p38, p33, p19 or p42, wherein the mutein is differentiated from the MSP-1 sequence by addition, deletion, insertion, inversion and/or substitution of one or more amino acids, wherein the MSP-1 protein is of the isolate 3D7 or the FCB1 strain, wherein the MSP-1 is reduced in its adenine thymine (AT) content. The claimed invention also is drawn to a method of production of the recombinant MVA virus comprising the steps: (a) transfecting a eukaryotic host cell with a transfer vector, wherein the transfer vector comprises a nucleic acid encoding a *Plasmodium falciparum* merozoite surface protein-1 (MSP-1) protein or a fragment or a mutein, wherein the mutein differs by the addition, deletion, insertion, inversion and/or substitution of one or more amino acids from the MSP-1 sequence, wherein the nucleic acid is flanked by MVA sequences 5' and/or 3'. Wherein the sequences are suitable for the homologous recombination in the host cell; (b) infection with a virus based on MVA, preferably MVA; (c) cultivation of the host cell

under conditions suitable for homologous recombination; and (d) isolation of the recombinant virus based on MVA, wherein the virus is isolated from the culture supernatant or from the cultivated host cells. The claimed invention is also drawn to a vaccine comprising the recombinant MVA virus and a pharmacologically compatible carrier, wherein the vaccine further comprises a MSP-1 fragment or a mutein and/or a nucleic acid coding for MSP-1, or a fragment or mutein, wherein the constituents can be administered simultaneously, sequentially or separate. The claimed invention is also drawn to a method for the prophylaxis and/or therapy of malaria comprising administering the recombinant virus, MSP-1, a fragment or a mutein and/or a nucleic acid coding for MSP-1, or a fragment or mutein.

Yang et al. teaches a recombinant vaccinia virus encoding a *Plasmodium falciparum* merozoite surface antigen (MSA1) (p. 1303, Abstract). A highly attenuated strain of vaccinia virus, Modified Vaccinia Ankara (MVA) was developed as an expression vector and shown to be equivalent to replication competent vaccinia virus in several vaccine models (p. 1311, last paragraph). The merozoite surface complex is processed into fragments, 30, 38 and 42 k Da (p. 1304, top of left column). Each gene was inserted into the thymidine kinase region of the vaccinia virus, under the control of the synthetic strong early/late promoter (p. 1303, Abstract). The effect of signal and anchor sequence on the biochemical processing and antibody response to the C-terminus region of the MSA1 is expressed by recombinant vaccinia virus (p. 1304, left column). BSC-1 cells, a eukaryotic host cell was transfected with a transfer vector, a recombinant vaccinia virus which encodes a *Plasmodium falciparum* MSA1 (p. 1305, left column). Insertion of the sequence, under transcriptional control of the promoter, provides a visual marker for identification (p. 1304, last paragraph). The MSA1 fragments contain the 108

bp region directly downstream from the signal sequence and an additional 2 bp on the 5' end of the C-terminal to preserve the reading frame (p. 1305, Table 1). The vaccinia virus thymidine kinase sequences flanking the vaccinia genome (p. 1304, last paragraph). The virus containing the MSA1 was determined by SDS gel electrophoresis from the cell pellets and 50X concentrated supernatants (p. 1308, left column). Yang teaches a vaccine composition complete with Freund's adjuvant administered to monkeys, mice and rabbits in one vaccine or in two parts (p. 1304, left column and p. 1307, left column). The vaccines were administered to mice for the prophylaxis of malaria with the recombinant vaccinia virus vaccine (p. 1308, right column).

Yang does not teach the recombinant vaccinia virus MSP-1 protein is from the 3D7 or FCB1 strain of *P. falciparum* or a MSP-1 with reduced AT content.

Kumar et al. (hereinafter Kumar) teaches about a DNA plasmid vaccine encoding the merozoite surface protein 1 (MSP-1) from the 3D7 strain of *Plasmodium falciparum* (Pf3D7) (Abstract). Kumar also teaches about the construction of a vaccinia recombinant expressing MSP-1 (page 15, Section 2.2).

Bujard et al. (hereinafter Bujard) teaches a Malaria, *Plasmodium* species, which is stabilized by a process characterized by a reduction of the AT content (page 6, 3rd full paragraph). Bujard teaches vaccine carriers, viral carriers including vaccinia (page 13, 2nd full paragraph). Bujard also teaches processing fragments p83, p31, p36, p30 and p19 (page 15, last paragraph).

The combination of references teaches the instant claimed invention.

It would have been *prima facie* obvious to the person of ordinary skill in the art at the time the invention was made to use the 3D7 strain of Kumar. The person of ordinary skill in the

art would have been motivated use the 3D7 strain because a plasmid constructed with the human strain 3D7 would be a good vaccine candidate, and reasonably would have expected success because of the results of Kumar and the teachings of Yang.

It would have been *prima facie* obvious to the person of ordinary skill in the art at the time the invention was made to use a reduced AT content. The person of ordinary skill in the art would have been motivated to make that (those) modification(s) because Bujard teaches it stabilizes the vaccine, and reasonably would have expected success because of the teaching of Yang and Bujard.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sharon Hurt whose telephone number is 571-272-3334. The examiner can normally be reached on M-F 8:00 - 4:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Bruce Campell can be reached on 571-272-0974. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number:
10/532,067
Art Unit: 1648

Page 7

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Sharon Hurt

December 21, 2007

/Bruce Campell/
Supervisory Patent Examiner
Art Unit 1648